



MINOR'S PER CAPITA TRUST

Investment Election Form

Minor's Full Name: _____ Roll#: _____
 Date of Birth: _____

The Tulalip Board of Directors of the Tulalip Tribes resolved to modify the investment choices in the Minor's Trust.

Because of the decline in the stock market, the stock portion of the Age-Based Portfolios was sold on March 24, 2020, and has been held in the UBS Bank USA MMF Yield Account. As the market has seemingly stabilized, the Board of Directors will reinstate the Former Age-Based Portfolios to their original asset allocation of stocks and bonds, as illustrated. Below are the options for your child/ward's account balance.

If your child/ward's account was previously invested in one of the Age-Based Portfolios, you can either elect to reinvest the money into the Former Age-Based Portfolio or the Conservative Portfolio as illustrated below. If no election is made before June 30, 2020, **and** your child/ward's money was previously invested in one of the Age-Based Portfolios, the money will automatically be reinvested into the appropriate Age-Based Portfolio as soon after June 30, 2020, as administratively feasible.

If your child/ward's account is currently invested in the Conservative Portfolio, you can elect to move the money into the Former Age-Based Portfolio, as illustrated below. If no election is made before June 30, 2020, **and** your child/ward's money is currently invested in the Conservative Portfolio, the money will remain invested in the Conservative Portfolio.

The investment options are:

Age-Based 0-9 years old- portfolio target is approximately 65% stocks, well-diversified in US and non-US companies of various sizes. The remaining 35% is invested in bonds, well-diversified, primarily investment grade. The goal of this Portfolio is to achieve growth and income over a long term horizon. This Portfolio's risk profile may be considered moderately aggressive.

Age-Based 10-14 years old - portfolio target is approximately 50% stocks, well-diversified in the US and non-US companies of various sizes. The remaining 50% is invested in bonds, well-diversified, primarily investment grade. The goal of this Portfolio is to achieve growth and income over a long term horizon. This Portfolio's risk profile may be considered moderate.

Age-Based 15+ years old- portfolio target is approximately 10% stocks, well-diversified in US and non-US companies of various sizes. The remaining 90% is invested in bonds, well-diversified, primarily investment grade. The goal of this Portfolio is to primarily achieve income with minimal growth over a shorter-term horizon. This Portfolio's risk profile may be considered conservative.

Conservative Portfolio - Portfolio is invested primarily in bonds, well-diversified, primarily investment grade. The goal of this Portfolio is to preserve capital over a full market cycle.

Manager	Matrix Ticker	Portfolio Name	Asset Class	Former Age-Based Portfolios			Conservative Portfolio
				Balanced 1 Age 15+	Balanced 2 Age 10 - 14	Balanced 3 Age 0 - 9	
DANA Short Term Fixed Income	TTEXU	Enhanced Cash	Cash/Money Mkt	25%	5%	5%	50%
Vanguard Short Term Federal	TTEXU	Bond Intermediate AA or Better	Short Term Bond	0%	0%	0%	50%
Sage Core Fixed Income	TTCXU	Bond Core	Bonds	20%	20%	15%	0%
Sage Intermed Term Fixed Income	TTAXU	Bond Intermediate A or Better	Bonds	45%	25%	15%	0%
ETF Account	TTDXU	Stock Investment Account	Equities	10%	50%	65%	0%
				100%	100%	100%	100%

If you wish to make a change to your child/ward's investment account, please make your selection below and return your form prior to June 30, 2020. Also, the NEW funds contributed on behalf of the Minors will be invested into the Age-Based Portfolio.

If you wish your child/ward's account transferred, check one of the boxes below.

Please transfer my child/ward's investment account into the appropriate Age-Based Portfolio as soon as administratively feasible.

Please transfer my child/ward's investment account into the Conservative Portfolio as soon as administratively feasible.

If no election is made before June 30, 2020, **and** your child/ward's money was previously invested in one of the Age-Based Portfolios, the money will automatically be reinvested into the appropriate Age-Based Portfolio as soon after June 30, 2020, as administratively feasible.

If no election is made prior to June 30, 2020, **and** your child/ward's money is currently invested in the Conservative Portfolio, the money will remain invested in the Conservative Portfolio.

The next change period is February 2021. Please return a completed form by June 30, 2020, to the Enrollment Office to guide Enrollment and the record keeper on the investment choice of your child/ward's per capita funds.

Enrollment Office
6406 Marine Drive
Tulalip, WA 98271
Fax 360-716-0209
Email: RTopaum@tulaliptribes-nsn.gov

LEGAL Custodial Parent or Guardian must sign:

Mother:

Print Name: _____ Sign Name: _____ Date: _____
(Authorized Parent or Guardian)

Father:

Print Name: _____ Sign Name: _____ Date: _____
(Authorized Parent or Guardian)

*****OFFICAL USE ONLY*****

Received By: _____ Date: _____

Entered: _____ Date: _____

Faxed 425-745-5017 or uploaded to NWPS: _____ Date: _____